# Computation-Performance Optimization of Convolutional Neural Networks with Redundant Kernel Removal

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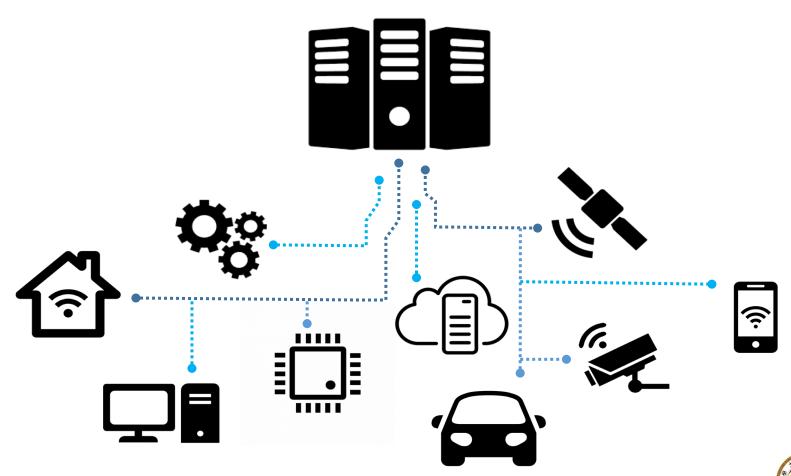


#### Outline

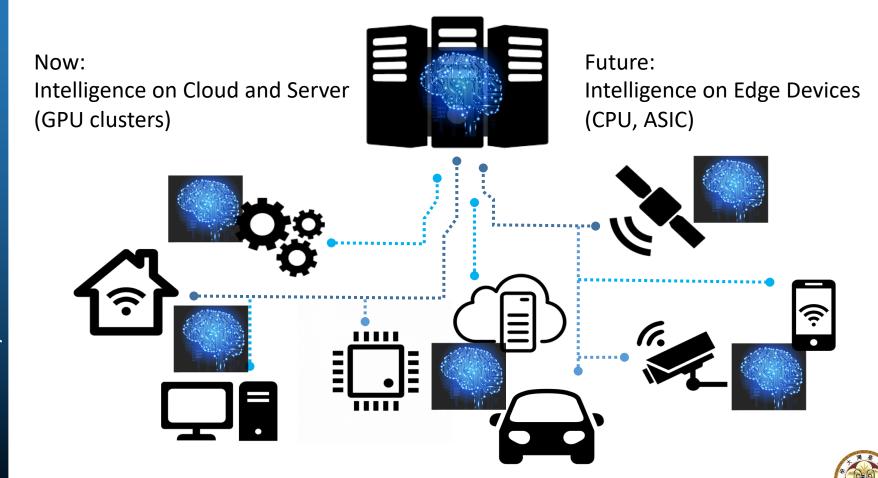
- Introduction
  - Challenge of IOT+AI
- Pruning
- Proposed Method
  - Layer-wise Kernel Removal
  - Computation-Performance Optimization
- Experimental Results
- Conclusion



#### Internet of Things (IoT)

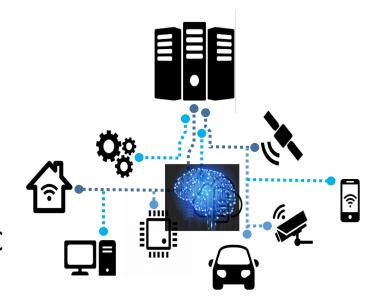


## Internet of Things (IoT) + Artificial Intelligence (AI)



#### IOT + Edge Al

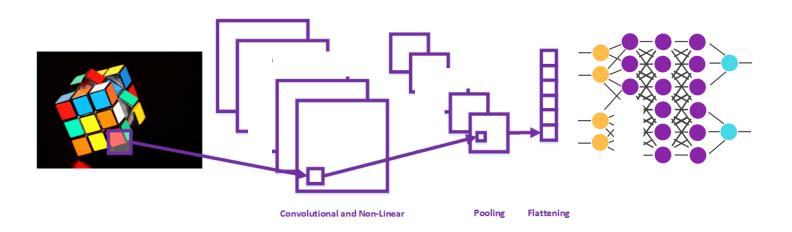
- For visual tasks, Convolutional Neural Networks (CNN) is one of the AI solutions.
- Apply the CNN inference procedure on devices.
- Challenges
  - # Parameters of deep CNN.(138M for VGG-16)
  - # Operation of deep CNN. (Convolution layers)
- → Hard to implement on CPU or ASIC Cost huge (1) data access time and (2) computation time





#### Goal

Can we analyze and remove the possible redundancy of Deep CNN models?





#### Pruning—Related Works

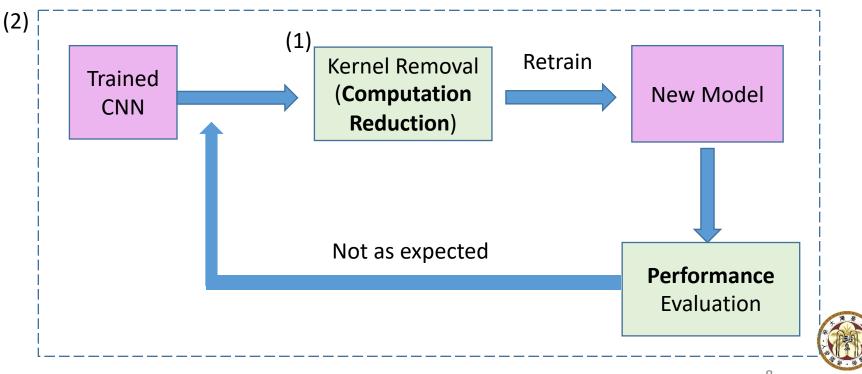
- Parameters-pruning based method [1]
  - Remove the parameters with small magnitude.
  - Cause sparse weight matrix with same size.
- → Reduce parameters. But can Not reduce operations!!
- Kernel-pruning based method [2]
  - Remove the entire kernel (filter) when sparsity > threshold.
- → Can reduce operations! (reduction of convolution kernel)

[1] Han, Song, et al. "Learning both weights and connections for efficient neural network." Advances in neural information processing systems. 2015.



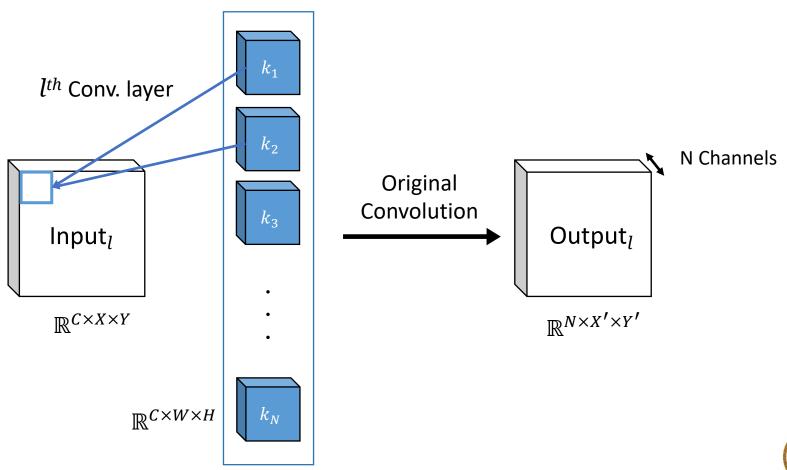
#### **Proposed Method**

- Based on [2], we make up the disadvantage of manually determining the threshold when removing kernels.
- Propose (1) Layer-wise Kernel Removal (LKR)
  - (2) Computation-Performance Optimization Flow (CPO)



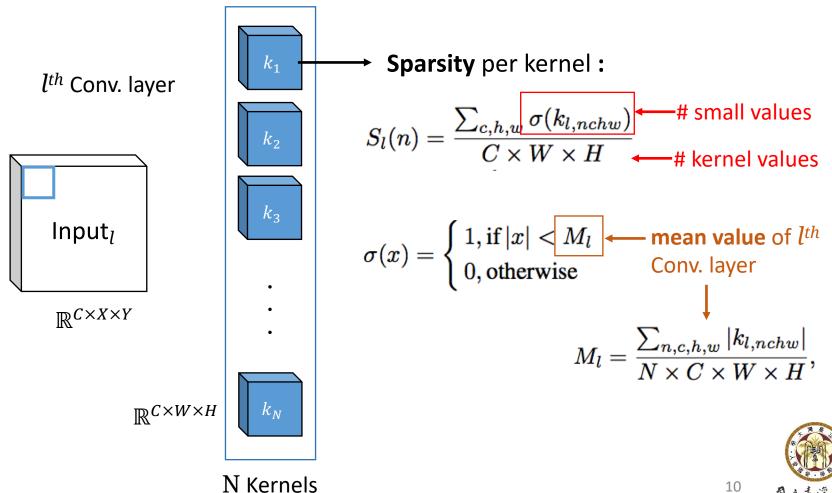
For a specific convolutional layer l,

N Kernels



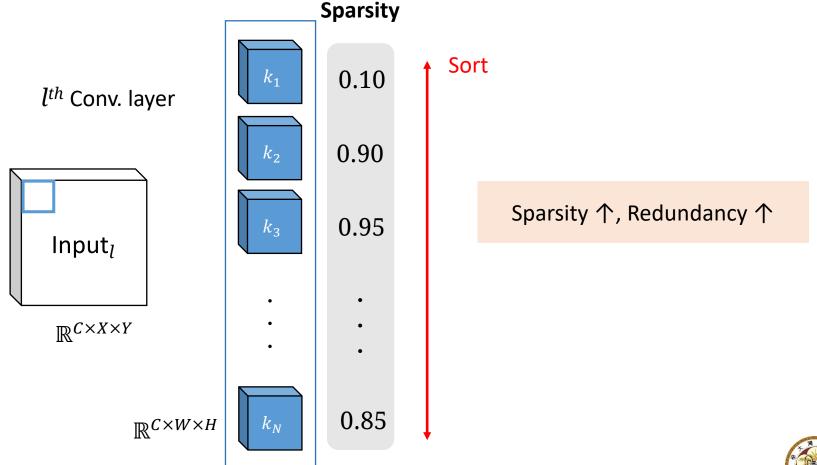


For a specific convolutional layer l,



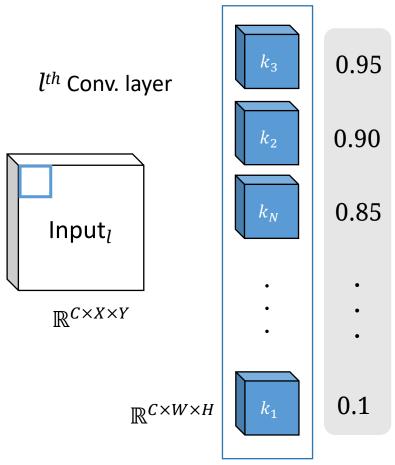
For a specific convolutional layer l,

N Kernels



For a specific convolutional layer l,

#### **Sorted Sparsity List**



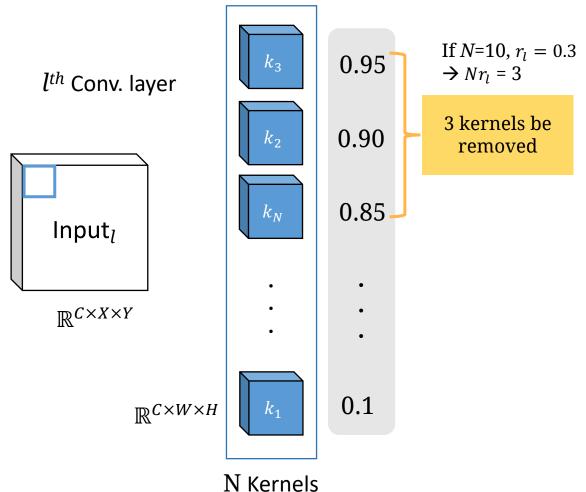
Define **reducing factor**  $r_l$  ,  $0 \le r_l \le 1$ : The proportion of removed kernel.

Given  $r_l$ , we will remove  $Nr_l$  kernels.



For a specific convolutional layer l,

#### **Sorted Sparsity List**



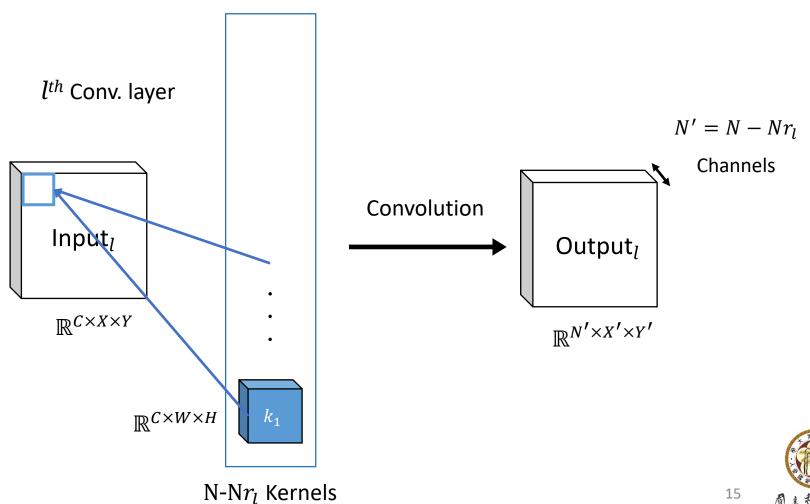
For a specific convolutional layer l,

#### **Sorted Sparsity List** If N=10, $r_l=0.3$ 0.95 $k_3$ *l*<sup>th</sup> Conv. layer 3 kernels be 0.90 removed $k_N$ 0.85 Input<sub>1</sub> Prune $\mathbb{R}^{C \times X \times Y}$ 0.1 $\mathbb{R}^{C \times W \times H}$

N Kernels



For a specific convolutional layer l,

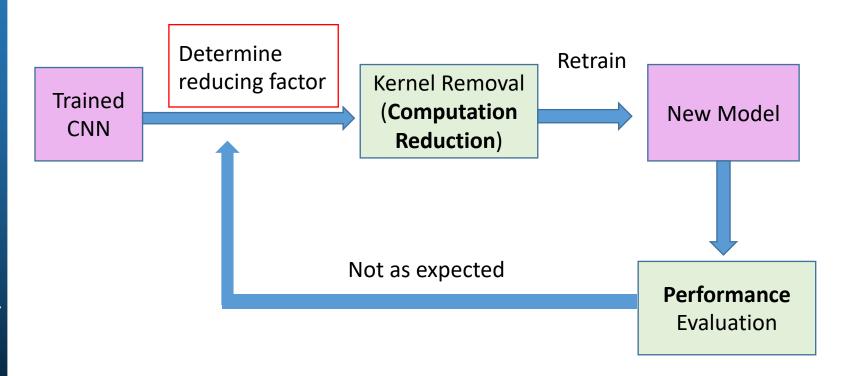


## Summary of LKR

- Given a **reducing factor**  $r_l$  for each layer, we can prune the Conv. layers. (N-N $r_l$  kernels left)
- Fast and Easy.
- If we train from scratch with **smaller** network, not use pruning method, it may take time and may not train successfully.

## Computation-Performance Optimization (CPO) Flow

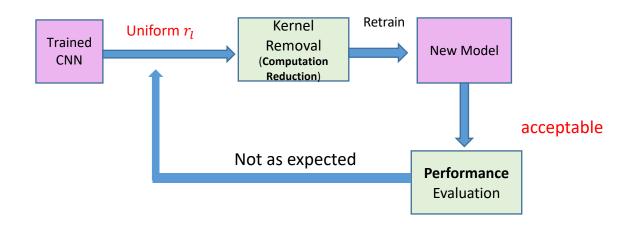
Monitoring the Performance to adjust the Computation Reduction.





#### **CPO Flow**

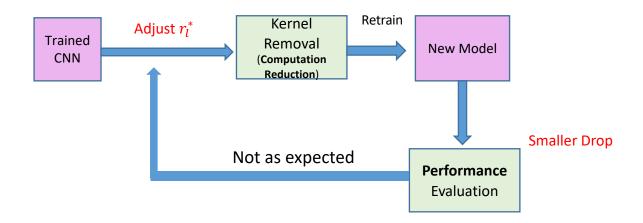
- Step 1 Uniform Removal (UR)
  - Set **equal**  $r_l$  for every layer, obtain the performance drop.
  - Iteratively take experiments on **different** uniform  $r_l$ .
  - Pick an **acceptable**  $r_l^*$  among the experiments above.
    - \* "acceptable" depends on the performance requirement by user.
  - Go to step2 to further adjust  $r_l^*$  for every layer.





#### **CPO Flow**

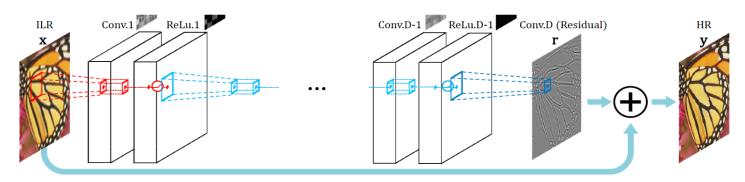
- Step 2 Probing Model Sensitivity
  - Split model into few parts (ex. front , middle ,end)
  - Under same parameters left, find which part is less sensitive → Remove more on the part with lower sensitivity.
  - Increase  $r_l^*$  for one part to probe sensitivity.
  - decrease  $r_l^*$  for other parts to maintain params left.
  - Observe the performance drop based on diff. probing.
  - Choose the new reducing factor with smaller performance drop!





#### **Experimental Results**

- CNN model: VDSR (Very Deep Super Resolution) model [3]
  - 20 layer fully convolutional Network → Excluding the influence of FC layers.



ILR: Interpolated Low Resolution Image

HR: High Resolution Image

- Retrain Epoch: 8
- Evaluation Dataset: (1) Set5 X2 (2) Set14 X2
- Performance Evaluation : PSNR (dB)

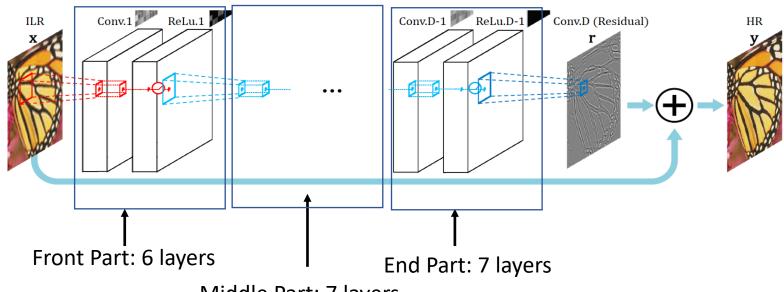


#### CPO step 1 Result – Uniform Removal(UR)

Model	Kernel per layer	Set5 PSNR	Set14 PSNR	Parameters	FLOP
Original	64	37.50 dB	33.08 dB	6.7 x 10 <sup>5</sup>	1.11x 10 <sup>9</sup>
Reducing Factor (r)	Kernel per layer	Set5 PSNR Drop ↓ (dB)	Set14 PSNR Drop ↓ (dB)	Params Remained	FLOP Remained
0.00	64	0	0	100 (%)	100 (%)
0.12	56	0.13	0.19	76.60 (%)	76.58(%)
0.19	52	0.21	0.21	66.07 (%)	66.04(%)
0.25	48	0.19	0.25	56.32 (%)	56.28(%)
0.31	44	0.20	0.25	47.34 (%)	47.30(%)
0.38	40	0.36	0.36	39.15 (%)	39.10(%)
0.44	36	0.40	0.39	31.73 (%)	31.68(%)

#### CPO step 2 – Probe Model Sensitivity

Split the model into three parts: Front, Middle ,End



Middle Part: 7 layers

- Start from  $r^* = 0.25$ , and adjust the value for different parts.
- Try to improve the performance by pruning the less sensitive part more under almost same computation constraint.



#### **CPO step2 Results**

Reducing Factor (r) (F, M, E)	Kernel per parts (F, M, E)	Set5 PSNR Drop ↓ (dB)	Set14 PSNR Drop ↓ (dB)	Parameters Remained (%)
(0.25,0.25,0.25)	48, 48, 48	0.19	0.25	56.32 (UR)
( <b>0.44</b> ,0.18,0.18)	36, 52, 52	0.19	0.23	55.39
( <b>0.44</b> ,0.12,0.25)	36, 56, 48	0.18	0.20	56.36
(0.12,0.18, <b>0.44</b> )	56, 52, 36	0.24	0.29	58.60
(0.18,0.18, <b>0.38</b> )	52, 52, 40	0.30	0.26	57.54
(0.25, <b>0.44</b> ,0.06)	48, 36, 60	0.27	0.30	55.94
(0.18, <b>0.44</b> ,0.16)	52, 36, 56	0.26	0.30	55.51

- $\rightarrow$  The Front part of VDSR model is less sensitive; therefore, we can increase  $r_{front}$ .
- → Use (0.44,0.12,0.25) to prune VDSR can achieve 50% parameters removal and improve the UR performance. (PSNR drop 0.18/0.20)



#### Conclusion

- Layer-wise kernel removal can remove the redundancy of CNN and at the meantime reduce operations.
- Using Computation-Performance Optimization (CPO) Flow can remove more kernels in the model parts with more redundancy than others.

#### Extension

- CPO now is empirical, we've extended this work to develop a more systematic CPO flow.
- Have performed new CPO on VGG-19 for Cifar-10 classification.
- Compared with the state-of-the-art kernel removal method.
- Have submitted our extended work to TCAS-1.



Q & A

Thanks for Listening!

## Backup

#### Pruning on VGG-19 of Cifar-10

	Reducing Factor r	Drop(%)	Params Remained	FLOP Remained
Original	0	0%	$2.0 \times 10^{7}$	$4.0 \times 10^{8}$
		(Acc: 92.19%)	100%	100%
Uniform	0.125	0.10%	77.86%	76.87%
Removal	0.250	0.54%	58.47%	56.78%
(UR) [13]	0.375	1.36%	41.83%	39.72%
	0.500	3.23%	27.96%	25.70%
	0.625	6.42%	16.83%	14.72%

	$\mathrm{D_{exp}}$	Final Drop	Params Remained	FLOP Remained	
СРО	0.10%	0.06%	26.89%	51.99%	
(ours)	0.54%	0.50%	9.80%	35.02%	
	1.36%	1.35%	5.16%	25.77%	
	3.23%	3.02%	3.42%	20.96%	
	6.42%	6.30%	1.76%	16.76%	



## Backup

- VGG-16 on Cifar-10
- Compare with state-of-the-art [4]

Model	Error	FLOP	Pruned	Params	Pruned
VGG-16 [11]	6.75%	$3.13 \times 10^{8}$		$1.5 \times 10^7$	
Pruned [11]	6.60%	$2.06\times10^8$	34.2%	$5.4\times10^6$	64.00%
VGG-16	6.22%	$3.13 \times 10^{8}$		$1.5 \times 10^7$	
Pruned(CPO)	6.16%	$2.09\times10^{8}$	33.4%	$3.2\times10^6$	<b>78.76</b> %

